Center for the History of Electrical Engineering

Newsletter No. 37 Fall 1994

Engineers as Executives

anagers of technological businesses face great challenges. They must not only be able to make difficult decisions faced by any business leader operating in a highly competitive international market, but also handle technologies that are often arcane, expensive, and rapidly changing. What qualifications prepare someone for this kind of executive position, and what kinds of challenges do they face every day? What impact does an engineering background have on their management philosophy and practice? Are these experiences universal, or do they vary from continent to continent, and from country to country?

In order to try to gain insight into these questions, the Center conducted oral histories with thirteen senior executives from the computer, electrical, and electronics industries in Germany, Japan, and the United States. Through an examination of their personal histories, we investigated general issues about the challenges and styles of managing technological businesses. These interviews have been published by IEEE Press as William Aspray, ed., Engineers as Executives: An International Perspective.

The transcripts that appear in this volume have been edited by both the Center's staff and the executives themselves. As an aid to the reader, each transcript is preceded by a brief history of the principal company under discussion in the interview. Each interview also includes a portrait photograph of the executive.

The first section of the book includes interviews with four German executives, beginning with Herbert Bruch, a member of the Management Committee that runs the Grundig Company. Grundig is a worldwide producer of consumer electronics, such as televisions, compact disk players,

video recorders, upmarket hifi components, worldband radios, car radios, and telephones, as well as industrial electronics, office electronics, and infortechnologies. Bruch discusses the way in



which research and development, manufacturing engineering, and product development are organized within Grundig. Other topics include the strong position of European companies in logistics and customer service, the value of joint ventures, the relations between Grundig and its parent company Philips, the importance of continuing education, and the difficulty of training engineers to be managers. He gives special emphasis to the importance of maintaining engineering capability within Grundig and the need for senior operations managers to keep track of what is happening on the factory floor.

Help Us Meet Our **Challenge Grant**

In our last newsletter we mentioned the IEEE Foundation has kindly set aside up to \$50,000 for a challenge grant to help build up the Friends Fund. For every two dollars donated by an individual or non-IEEE organization, the Foundation will donate one dollar. As of 1 November, thanks to your generosity, we had already received \$36,000 in qualifying contributions from individuals and organizations. If you have not given yet, you still have a chance to contribute, either through your 1995 IEEE Dues Bill or by sending the Center a check (or credit card authorization) payable to "IEEE Foundation-Friends Fund". Please help us to take full advantage of the IEEE Foundation's challenge grant, which ends February 21, 1995.

The second interview is with Ernst Denert, Managing Director and one of the co-founders of sd&m, a leading custom software design and consulting firm, with a distinguished client list that includes Luf-



thansa, AEG, Siemens, Thyssen, TUI, BMW, and the Deutsches Bundesbahn (national railway). One of the most interesting topics discussed in this interview is how Denert's participation in the Berlin student protests of 1968 shaped his career and the culture of the company he founded. Denert also discusses how his philosophy of software design and management have shaped his company's operating practices. He considers the "software crisis", the tools and methods developed to overcome it, and why universities have difficulty providing adequate training in software engineering. Other topics include the lack of risk in custom software entrepreneurship experienced by Denert, the ethical responsibilities of spinoff companies to their parent organizations, the strengths and limitations of being a small company, and strategic reasons for opening a small business to new partners.

The next interview is with Kurt Schips, a member of the Management Committee that runs Robert Bosch GmbH, an international company with more than 180,000 employees that has been a leader in



Kurt Schips

the automotive components industry since the nineteenth century and today produces mechanical automotive equipment, communications technology, power tools, household appliances, thermal technology, plastic products, packaging machinery, industrial equipment, and hydraulic and pneumatic machinery. Schips discusses competition among Germany, Japan, and the United States and some of the factors that affect the outcome, such as the tension between between short-term return on investment and market share, and the effect on research and development of the comparatively longer Japanese work year. He considers at length the organization of research and development, manufacturing

Continued on page 2

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Engineers as Executives horizontally diversified secondary compo- to operate a multinational company in

engineering, and product development. Other topics include decentalization, the importance of building new market areas on existing expertise, the value in early market entry, training engineers to be managers, and the importance of technical training for senior managers.

The final German interview is with Arno Treptow, a member of the Management Committee of AEG. AEG has more than a century of experience in the electric power



business and today, as one of the Daimler Benz companies, has international businesses in power transmission, automation, railroad systems and components, domestic appliances, and microelectronics. The first part of the interview discusses the structure of the international market in electric power, the shake-out in that industry over the past forty years, and the particular importance of customer-supplier relationships in this market. Treptow considers the changes that occurred in management philosophy when Daimler acquired AEG, and the ways in which various functions are centralized or vested in the divisions today. Other topics include the effect of the breakdown of the Iron Curtain on European business, the training programs at AEG for teaching engineers to manage, and the backgrounds found

The first interview in the second section is with Katsutaro Kataoka, a highly individualistic corporate leader who as a WWII veteran built up a

among senior managers.

small firm manufacturing Katsutaro Kataoka variable capacitors into Alps Electric, one of the world's leading,

nents manufacturers. Alps built many of countries other than that of the home office. the television tuners that enabled the Japanese to dominate the television manu- The third Japanese interfacturing industry, developed a major car stereo business (Alpine) out of a supply relationship with Honda Motors, and today supplies floppy disk drives for Apple, IBM, and other companies. Much of the interview concerns how Kataoka was able to build his small post-war venture into a major, independent supplier of electronic components, especially in the face of the strong hold that the large Japanese systems manufacturers have over their suppliers. Of particular interest is Kataoka's explanation of how he learned his operating principles and his commitment to quality manufacturing in the 1950s and 1960s from American companies, which themselves later abandoned these principles. Other topics include the value in situating factories in rural areas, managing rapid growth, the move from customized to standardized products in order to remain competitive, the Viet Nam war as a watershed in Japanese-American business relations, and the future importance of China as market and producer.

The next interview is with Koji Kobayashi, Chairman Emeritus of NEC Corporation, which during his tenure as company leader became the world's largest producer of computing and telecommuni-



Koji Kobayashi

cation technologies. NEC's activities were shaped by Dr. Kobayashi's vision of the integration of computer and communications technologies into what he has called C&C. In the interview Dr. Kobayashi discusses the importance of this integrated approach to technology, the problems of building up a business after the Second World War, the difference between a technical and a financial mindset in the leadership of technological businesses, and how

view is with Kazuhiko Nishi, the inventor of the first laptop computer and the joy stick used in millions of Nintendo video games. His company, the Kazuhiko Nishi ASCII Corporation, today



has a well-integrated and future-oriented group of business activities in personal computing, entertainment, business workstations, semiconductor design, and on-line services. In this wide-ranging interview, Nishi discusses many aspects of his business philosophy: communication between

The Newsletter reports on the activities of the Center and on new resources and projects in electrical history. It is published three times each year by the Center for the History of Electrical Engineering

> CHEE **Rutgers University** 39 Union Street New Brunswick NJ 08903 Telephone: (908) 932-1066 Fax: (908) 932-1193 internet: history@ieee.org

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senior and middle-level managers, decen-semiconductors and a tralization and optimal size of profit centers, importance of research and development to long-term growth, joint ventures as a way to overcome lack of mobility of Japanese engineers, integrating business areas intelligently for long-term growth, rating an engineer's skills, recruitment of software designers, the importance of constant product enhancement when product cycles are short, difficulties of fast-tracking employees in Japan, and the importance of dominating one's market niche.

The final two Japanese interviews are with executives from the Yokogawa family of companies. One is with Takashi Sugiyama, now the Chairman of the Board of Yokogawa Re- Takashi Sugiyama search Institute Corpo-

ration. He was the first president of Yokogawa Medical Systems, a joint venture between Yokogawa Electric and General Electric to manufacture medical diagnostic systems such as computer-aided tomography, nuclear medical imaging, and ultrasound systems. He discusses how the company was formed as a marketing arm for General Electric, but through strong production engineering and an emphasis on service became a leading worldwide producer in this market area. Other topics include organization of R&D, the research planning process, Just-In-Time and Total Quality Control manufacturing systems, academic-industry alliances, and continuing education.

The other interview is with Takashi Yamanaka, the president of Yokogawa Electric Corporation, the parent company, which is a leading international supplier of measurement Takashi Yamanaka

and control instrumenta-

tion and information management systems. Mr. Yamanaka discusses how to achieve successful joint ventures, such as Yokogawa Electric has attained with Hewlett Packard and General Electric. He also considers strategies for managing overseas subsidiaries, the growing market in China, Japanese-American business relations, American strength in innovation versus Japanese strength in production engineering, and the "boomerang effect" that occurs when developing nations begin to export technology they had previously imported.

The third section includes four interviews with American executives. The first is with Robert Galvin, the Chairman of the Executive Committee at Motorola, one of the leading worldwide manufacturers of major supplier of information systems, communications technologies, and automotive, industrial, and defense electronics. Galvin discusses such topics as the importance of



integrated management and engineering, the role of quality as a principal organizing force within a technological business, the importance of the Malcolm Baldridge Award, and international competition, especially with the Japanese.

Mitchell Kapor, one of the founders of Lotus Corporation, is the second American executive interviewed. Lotus is one of the largest and fastest growing software compa- Mitchell Kapor



nies in the world, known for its integrated products for microcomputers. Kapor's main topic of discussion is the problems of managing hypergrowth when a small start-up firm is wildly successful. In this context he discusses the particular difficulties with scaling up marketing and development, the kinds of technical, people, and communication skills desirable in the chief executive officer, and the problems of corporate culture clash that can occur when people with different backgrounds are thrust together so rapidly. Other topics include the differences of operating in an emerging versus a mature industry, how to design a successful product, the importance of timing in market entry and product delivery, the differences in managing small-scale and large-scale organizations, and the classic mistakes made by entrepreneurs when they start their second company.

The next interview is with Arthur Stern, who was President of Magnavox Advanced Products and Systems Division and who held senior positions prior to that in General Arthur Stern Electric, Martin-Marietta,



and Bunker-Ramo Corporation. Magnavox, now owned by North American Philips Corporation, is a major consumer electronics manufacturer, the world's leading supplier of handheld radios, and a major producer of military and commercial products in communications, anti-submarine war- and administration. fare, infrared systems, satellite navigation, and tactical information systems. Stern discusses the relationship of the parent company to its subsidiaries, the need for and problems in technological forecasting, assessing customer needs to improve product acceptance, customer lock-in and bid-

ding practices in the defense electronics industry, and the difficulties of converting defense operations to commercial activities.

The final interview is with Erwin Tomash, founder of DataProducts Corporation, one of the world's leading manufacturers of computer printers. Prior to founding DataProducts, he Erwin Tomash held senior management



positions at Telemeter Magnetics and Ampex. The interview considers issues confronted by small engineering firms in new technology areas, such as transforming a project team into a business with marketing and financial capabilities; establishing methods to achieve performance, uniformity, and reliability in new products; moving from innovative design to volume manufacturing; the problems in obtaining effective middle managers to run projects; and the need for senior managers to have good technical training until the technical area matures. Other topics include establishing budgets and product pricing, relations between suppliers and systems purveyors, tension between capital investment and short-term return in publicly held companies, differences between manufacturing engineering and development engineering approaches to product development, shortcomings of the venture capital system in America, and the role of the government in American high-technology.

Many people have assisted with this project. Andrew Goldstein conducted the interview with Mitchell Kapor. James Gover, an IEEE Congressional Fellow, helped to formulate the basic question sets. Research assistant Jill Cooper prepared the company histories that precede each interview and edited most of the transcripts. Oskar Blumtritt of the Deutsches Museum spent many hours identifying executives and arranging my interviews in Germany; and Yuzo Takahashi of Tokyo University of Agriculture & Technology played a similar role in Japan. Eiju Matsumoto of Yokogawa Electric, Kiyoshi Yamauchi and Michiyuki Uenohara of NEC, Noriko Kase of ASCII, and Hirotoshi Okamura of Alps Electric all provided valuable assistance within their companies. Thanks also to Liz Roach and Colleen O'Neill for their outstanding job with transcription and to Michael Ann Ellis for her work on editing

The Center is most grateful to these thirteen executives for making time in their busy schedules to be interviewed. Finally, we wish to thank the IEEE Foundation and the AT&T Foundation, which generously provided the funding for this project.

New Staff

We are pleased to announce the appointment of Nichole Brownlee as the Center's new Assistant to the Director. Ms. Brownlee received her bachelor's degree in sociology from Rutgers in 1992. She will have responsibility for managing the office, supervising the clerical staff, and serving as executive assistant to the director.

Life Member Support

Due to an oversight, we neglected to thank the IEEE Life Member Fund for its generous support of the Center's Fellowship and Summer Internship in the last newsletter. Neither of these programs could exist without the Life Member Fund's contributions.

We apologize for an error in the article on Lexikon Services' computer history which appeared in the last newsletter. The correct number for credit card orders is (800) 414-

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We have two programs by which companies, foundations, and individuals can help

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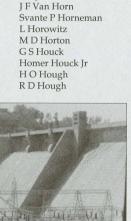
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Raymond Gaillard McMurray Gaines Jr Aaron A Galvin Alessandro Gandelli Charles P Gandy Huigin Gao Wilfried Gappmair Carl P Garbacik Carmelo A Garcia Thomas E Gardner Cassy Garelis Gerardo Avala Garza C W Gaston R D Gattis Axel W Gattung H A Gauper Ir Michael J Gay Michael P Gaydan H R Gaylord Carl C Gebhardt Alan Gehami L C Geiger Horst W Gerlach Joseph J Gershon Stanley W Gery Richard J Gessner SK Ghandhi D J Gibbons In Adolf J Giger Ralph E Gill Jr C R Gilliland Michael A Gillis E W Gilov A B Giordano A S Gladwin Paul H Glaeser Allen Glasman Franz W Gletscher Rolf H Gluth Victoriano C Go Norman Godinho Edward M Godshalk Daniel F Goessling SH Gold M Goldberg S Goldberg Erich Goldbohm S Goldfarb Arthur Goldsmith Philip Goldstein John-Paul Golick Steven E Golson Aleysius H Gondosari Wayne E Gongaware Gerhard Gonter Benito G Gonzales Jack Gonzalez Sergio Gonzalez Charles D Goodman David J Goodman Weston W Goodnow Kenneth R Goodwin Ir Robert L Goodwin Gerald W Gordon Pe Michael J Gorman Richard I Gorzegno Eli Goshen Robert O Gosswiller H W Gouldthorpe George E Gourrich Richard J Grable F Cecil Grace Thomas K Grady James E Graf Byron J Graff Jr R J Grambsch

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PIReich

H J Rathbun

R A Read Ir

Other Contributors

Alecos Pelopidas Fang-Zheng Peng William F Peoples C D Pepe Alfredo J Arrueta Peredo Helder G Pereira David C Perez Jesus Perez Peter E Perkins L Perper David Perreault E D Perry Robert G Pessler C Peter Edward C Peter Sandra Peters Ronald G Peterson Athina Petropulu Robert I Pettersen George W Petznick R F Pfost H W Phair Anil V Phatak W C Phillips Jr W F Phillips T F Piatkowski W F Pickard Charles H Pickman George Pida Timothy Piekarski David I Pierce C W La Pierre V J Pietkiewicz Donald H Pile Manu R Pillai A L. Pincombe Paul J Pinkstaff Orfeo Pinton F I De Piola A Plait Gene G Plichota Knoland J Plucknett Hugo K Podhrazky Joseph E Poekert Hans D Polaschegg Franklin C Polev Edwin C Polke I Carlos Ponce Frank B Pope Jr Thomas Porskievies David D Porter David A Potterton N R Poulsen Alan I Powell C S Powell Kenneth R Powell John P Powers John H Powers Robert Powers Robert E Pownall H W Prai Lawrence A Prather I C Presley James E Pressey Leo L Preti M D Prince Marco Principato Wilbur L Pritchard S J Procopion Duard I. Pruitt Goran Prvulovio Howard T Pyle Hoang K Quan Thomas J Quinlar W P Quintin Jr

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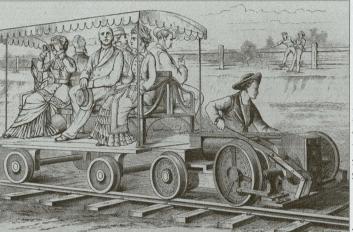
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Oral History

been mainly in the area of microwave techniques, worked for ten years in industry before becoming director of the Institute of High Frequency and Microwave Technique at Ruhr University in 1965. At the Technical University in Aachen, Nebeker interviewed Herbert Döring, whose prolific career in radio and microwave engineering began in the early 1930s. Nebeker next interviewed Karl Ganzhorn at his home outside Stuttgart. Ganzhorn, now retired, had a distinguished career with IBM, both as researcher and administrator, at a variety of positions, including Director for Science and Technology of IBM Europe and Vice President of IBM Systems Communications Division. In Freiburg Nebeker visited the Fraunhofer Institute for Solar Energy Systems, where he interviewed its recently retired director Adolf Goetzberger. From 1958 to 1963 Goetzberger worked closely with William Shockley at the latter's company in Palo

Fraunhofer Institute for Applied Solid-State Physics, a position he held until moving in 1981 to the directorship of the ner of the IEEE Medal of Honor in 1993. Institute for Solar Energy Systems.

In London Nebeker interviewed Eric Ash, another recent retiree. Ash had a distinguished research career both in industry and academia, working in the areas of microwave acoustics, integrated optics, and ultrasonic and thermal imaging, before becoming rector (president) of Imperial College. In Denmark Nebeker conducted two interviews. The first was with Aage Pedersen, whose work on many topics related to electrical insulation was honored in a festschrift published in 1991 by the IEEE Dielectrics and Electrical Insulation Society. The second was with Jørgen Palshøi, Director of Corporate Identity of Bang & Olufsen, a worldwide leader in consumer electronics. Just across the sound from Copenhagen, Nebeker visited the Department of Automatic Control at Lund

Alto; in 1968 he became director of the Institute of Technology, where he interviewed Karl Åström, well known for his work in stochastic control theory and win-

> The trip also furthered the Center's efforts to strengthen ties to the Institution of Electrical Engineers, with headquarters in London, and the Verband Deutscher Elektrotechniker (VDE), with headquarters in Frankfurt am Main. While in London, Nebeker met with several IEE staff members and volunteers, including Lenore Symons, IEE archivist, and Keith Thrower, chairman of both the IEE History Committee and the IEE Archives Committee. Plans were made for continued collaboration on exhibits, conferences, and archives. The selection of subjects for oralhistory interviewing in Germany was done after consultation with the VDE History Committee and with historians at the Deutches Museum. Preliminary steps were taken toward greater collaboration between VDE and IEEE on oral history efforts.

Harry K Lesser R

European Oral Histories

As part of the Power and Control Project, Frederik Nebeker conducted thirteen oral-history interviews of outstanding European electrical engineers. One interview was conducted in New Jersey, the others during a ten-day trip to northern Europe. The work was supported by a grant from the Andrew W. Mellon Foundation, which has permitted the Center to take a more international approach with the Power and Control Project.

The first of nine interviews of German engineers was with Manfred Schroeder, a leading researcher in electroacoustics and architectural acoustics. The second interview was with Konrad Zuse, who designed and built an electronic digital computer during World War II; the interview focussed on the immediate postwar years, when Zuse established his own computer company. The third interview was with Dieter Kind, President of the Physikalisch-Technische Bundesanstalt (Germany's bureau of standards). Kind, whose research interests include dielectric strength of insulating systems and highvoltage power transmission, is the first engineer ever to head that illustrious institution. One of the world's leading researchers in digital image processing, H.G. Musmann at the University of Hannover, was the subject of the fourth interview. Nebeker interviewed two outstanding engineers at Ruhr University. Berthold Bosch, contributor to many areas of microelectronics, is perhaps best known for his development of Gunn-effect electronics. Hans Severin, whose research has

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Partnership Program

We are grateful to the organizations and individuals listed below who provide generous support to the Center in the form of operating, endowment, and project funding. If you or your organization are interested in joining our Partnership Program, please contact the Director, Dr. William Aspray.

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We are also grateful to the thousands of individuals and institutions who make annual contributions to our Friends Fund.

New Associate

We welcome Joseph F. Keithley as the newest member of our Partnership Program. Mr. Keithley is the founder of Keithley Instruments Company of Cleveland.

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